

**Item 1: Cover Page**

**Part 2A of Form ADV  
Firm Brochure**

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This brochure provides information about the qualifications and business practices of Elevation Wealth Partners, LLC. If you have any questions about the contents of this brochure, please contact us at 608-513-7244. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority. Registration with the SEC or state regulatory authority does not imply a certain level of skill or expertise.

Additional information about Elevation Wealth Partners, LLC is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2: Material Changes

This firm Brochure is our disclosure document prepared according to regulatory requirements and rules. We will provide you with other interim disclosures about material changes as necessary.

Elevation Wealth has made the following material change since the last annual update of this Brochure issued on March 18, 2024:

- The firm no longer offers Schwab Institutional Intelligent Portfolios™. Please see Item 4 of this Brochure for Elevation Wealth's current service offerings.

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## Item 4: Advisory Business

### A. Ownership/Advisory History

Elevation Wealth Partners, LLC ("Elevation Wealth" or "the firm") is a Delaware limited liability company. Elevation Wealth is owned equally by Angie Hundt and Mindy Cook. The firm became registered as an investment adviser in 2023.

### B. Advisory Services Offered

#### Portfolio Management Services

Elevation Wealth offers ongoing portfolio management services based on the individual goals, objectives, time horizon, and risk tolerance of each client. Portfolio management services include, but are not limited to, the following:

- Investment strategy
- Asset allocation
- Risk tolerance
- Personal investment policy
- Asset selection
- Regular portfolio monitoring

Elevation Wealth evaluates the current investments of each client with respect to their risk tolerance levels and time horizon. Elevation Wealth will generally request discretionary authority from clients in order to select securities and execute transactions without permission from the client prior to each transaction.

For its discretionary asset management services, Elevation Wealth receives a limited power of attorney to effect securities transactions on behalf of its clients that include securities and strategies described in Item 8 of this brochure.

Clients have the right to provide the firm with any reasonable investment restrictions that should be imposed on the management of their portfolio (must be in writing and sent to the firm), and should promptly notify the firm in writing of any changes in such restrictions or in the client's personal financial circumstances, investment objectives, goals and tolerance for risk. Elevation Wealth will remind clients of their obligation to inform the firm of any such changes or any restrictions that should be imposed on the management of the client's account. Elevation Wealth will also contact clients at least annually to determine whether there have been any changes in a client's personal financial circumstances, investment objectives and tolerance for risk.

#### Financial Planning Services

Elevation Wealth offers financial planning as part of its investment management services or as a standalone service. Clients will receive a written or oral report providing a basic financial plan designed to help achieve their stated financial goals and objectives. Based on the client's needs, financial planning services may include (but are not limited to) the following:

- Preparation of a recommended asset allocation that serves to diversify the client's portfolio among different categories of investments, such as domestic and international small, medium, and large capitalization securities; corporate and government fixed income (short-, intermediate-, and long-term maturities); emerging market securities (i.e., foreign issuers); real estate investment trusts; and such other alternative asset categories offered through exchange traded funds that are suitable in light of the client's investment goals, objectives, and risk tolerance.
- Subject to mutual consent by the client and Elevation Wealth, preparation of an investment policy statement setting forth the client's investment plan, with specific direction in terms of diversification requirements, tax issues, estate planning issues, risk tolerance, retirement, and other identified objectives of the client, including a targeted rate-of-return objective.
- Preparation of a retirement plan that serves to identify whether the client is saving enough and investing in a way that meets retirement objectives in light of the client's financial circumstances and risk tolerance.
- Preparation of cash flow projections to ensure that the client can meet daily living expenses and obligations.
- Insurance planning to meet the needs of the client, taking into account family, business, and other financial objectives of the client.
- Estate planning through Wealth.com, an online platform that provides access to trust and estate attorneys.

Elevation Wealth gathers required information through in-depth personal interviews and questionnaires. Information gathered includes a client's current financial status, investment objectives, future goals, and attitudes toward risk. Related documents supplied by the client are carefully reviewed, and a report may be prepared, subject to mutual agreement with the client and Elevation Wealth, covering one or more of the above-mentioned topics as directed by the client.

### **C. Client-Tailored Services and Client-Imposed Restrictions**

Each client's account will be managed on the basis of the client's financial situation and investment objectives and in accordance with any reasonable restrictions imposed by the client on the management of the account—for example, restricting the type or amount of security to be purchased in the portfolio.

### **D. Wrap Fee Programs**

Elevation Wealth does not participate in wrap fee programs, where brokerage commissions and transaction costs are included in the asset-based fee charged to the client.

## **E. Client Assets Under Management**

As of December 31, 2023, Elevation Wealth managed \$34,768,185 of discretionary assets and \$0 of non-discretionary assets.

## Item 5: Fees and Compensation

### A. Methods of Compensation and Fee Schedule

#### Portfolio Management Fees

The annual fee for portfolio management will be charged as a percentage of assets under management according to the following fee schedule, which represents the firm's maximum fees for individual services. These fees are negotiable.

<u>Assets Under Management</u>	<u>Advisory Fee</u>	<u>Platform Fee*</u>	<u>Strategist Fee**</u>
All Assets	1.25%	0.15%	Variable

\*The platform fee is retained by the firm and covers the cost of technology/services for performance reporting and trading.

\*\*Clients may be charged a third-party strategist model fee set by the third-party strategist. The maximum strategist fee is 0.85% and retained fully by the third-party strategist. The advisory fee, platform fee, and strategist fee will be separately charged to the client's account, for a maximum total fee of 2.25%.

Portfolio management fees are subject to the investment advisory agreement between the client and Elevation Wealth. Such fees are payable quarterly in advance or arrears. The fees will be prorated if the investment advisory relationship commences otherwise than at the beginning of a calendar quarter. There will be no adjustments for contributions or withdrawals.

Elevation Wealth may modify the fee at any time upon 30 days' written notice to the client. In the event the client has an ERISA-governed plan, fee modifications must be approved in writing by the client.

#### Financial Planning Fees

Financial planning fees will be billed at the rate of \$200 per hour or a fixed fee mutually agreed upon by the client and Elevation Wealth. Depending upon the complexity of the situation and the needs of the client, the fixed fee for creating client financial plans typically ranges between \$250 and \$5,000. For estate planning through Wealth.com, clients will pay a separate fixed fee ranging between \$500 and \$5,000. Elevation Wealth will provide the prospective client with an estimate of the fixed charges prior to finalizing the financial planning agreement. The client will be billed directly for planning services performed.

## **B. Client Payment of Fees**

### **Portfolio Management Services**

Elevation Wealth generally requires fees to be prepaid on a quarterly basis, although for some accounts fees are billed quarterly in arrears. Billing arrangements will be defined in the investment advisory agreement between the client and Elevation Wealth.

Elevation Wealth requires clients to authorize the direct debit of fees from their accounts. Exceptions may be granted subject to the firm's consent for clients to be billed directly for our fees. For directly debited fees, the custodian's periodic statements will show each fee deduction from the account. Clients may withdraw this authorization for direct billing of these fees at any time by notifying us or their custodian in writing.

Elevation Wealth will deduct its advisory fees directly from the client's account provided that

- the client provides the qualified custodian written authorization;
- the firm sends an invoice to the client that shows the amount of the fee, how it was calculated, and the value of the assets on which the bill is based; and
- the qualified custodian sends the client a statement, at least quarterly, indicating all amounts disbursed from the account.

The client is responsible for verifying the accuracy of the fee calculation, as the client's custodian will not verify the calculation.

A client investment advisory agreement may be canceled at any time by the client, or by Elevation Wealth with 30 days' prior written notice to the client. Upon termination, any unearned, prepaid fees will be promptly refunded.

### **Financial Planning Services**

The client will be billed directly for planning services performed. If any fees are paid in advance by the client as part of a fixed fee arrangement, upon termination of the agreement, such fees will be refunded based on the prorated amount of work completed at the time of termination. A financial planning agreement may be terminated, without penalty, upon at least 30 days' written notice by Elevation Wealth or immediately by the client.

## **C. Additional Client Fees Charged**

All fees paid for investment advisory services are separate and distinct from the fees and expenses charged by exchange-traded funds, mutual funds, sub-advisers, third party strategists, broker-dealers, and custodians retained by clients. Such fees and expenses are described in each exchange-traded fund and mutual fund's prospectus, each sub-adviser's Form ADV and Brochure and Brochure Supplement or similar disclosure statement, and by any broker-dealer or custodian retained by the client. Clients are advised to read these materials carefully before investing. If a mutual fund also imposes sales charges, a client may pay an initial or deferred sales charge as further described in the mutual fund's prospectus. A client using Elevation



Wealth may be precluded from using certain mutual funds or third-party strategists because they may not be offered by the client's custodian.

Please refer to the Brokerage Practices section (Item 12) for additional information regarding the firm's brokerage practices.

#### **D. External Compensation for the Sale of Securities to Clients**

Elevation Wealth advisory professionals are compensated primarily through a salary and bonus structure. Elevation Wealth's advisory professionals may receive commission-based compensation for the sale of insurance products. Please see Item 10.C. for detailed information and conflicts of interest.

#### **E. Important Disclosure – Custodian Investment Programs**

Please be advised that the firm utilizes certain custodians/broker-dealers. Under these arrangements we can access certain investment programs offered through such custodian(s) that offer certain compensation and fee structures that create conflicts of interest of which clients need to be aware. Please note the following:

***Limitation on Mutual Fund Universe for Custodian Investment Programs:*** There are certain programs in which we participate where a client's investment options may be limited in certain of these programs to those mutual funds and/or mutual fund share classes that pay 12b-1 fees and other revenue sharing fee payments, and the client should be aware that the firm is not selecting from among all mutual funds available in the marketplace when recommending mutual funds to the client.

***Conflict Between Revenue Share Class (12b-1) and Non-Revenue Share Class Mutual Funds:*** Revenue share class/12b-1 fees are deducted from the net asset value of the mutual fund and generally, all things being equal, cause the fund to earn lower rates of return than those mutual funds that do not pay revenue sharing fees. The client is under no obligation to utilize such programs or mutual funds. Although many factors will influence the type of fund to be used, the client should discuss with their investment adviser representative whether a share class from a comparable mutual fund with a more favorable return to investors is available that does not include the payment of any 12b-1 or revenue sharing fees given the client's individual needs and priorities and anticipated transaction costs. In addition, the receipt of such fees can create conflicts of interest in instances where the custodian receives the entirety of the 12b-1 and/or revenue sharing fees and takes the receipt of such fees into consideration in terms of benefits it may elect to provide to the firm, even though such benefits may or may not benefit some or all of the firm's clients.

## **Item 6: Performance-Based Fees and Side-by-Side Management**

Elevation Wealth does not charge performance-based fees and therefore has no economic incentive to manage clients' portfolios in any way other than what is in their best interests.

## **Item 7: Types of Clients**

Elevation Wealth offers its investment services to various types of clients including high-net-worth individuals, trusts, corporations, partnerships, retirement plans, tax exempt, and other legal entities.

## Item 8: Methods of Analysis, Investment Strategies, and Risk of Loss

### A. Methods of Analysis and Investment Strategies

**Investing in securities involves a risk of loss that you, as a client, should be prepared to bear. There is no guarantee that any specific investment or strategy will be profitable for a particular client.**

#### Methods of Analysis

Elevation Wealth uses a variety of sources of data to conduct its economic, investment and market analysis, which may include economic and market research materials prepared by others, conference calls hosted by individual companies or mutual funds, corporate rating services, annual reports, prospectuses, and company press releases, and financial newspapers and magazines. It is important to keep in mind that there is no specific approach to investing that guarantees success or positive returns; investing in securities involves risk of loss that clients should be prepared to bear.

Elevation Wealth and its investment adviser representatives are responsible for identifying and implementing the methods of analysis used in formulating investment recommendations to clients. The methods of analysis may include quantitative methods for optimizing client portfolios, computer-based risk/return analysis, technical analysis, and statistical and/or computer models utilizing long-term economic criteria.

- Fundamental analysis is a method of evaluating the intrinsic value of an asset and analyzing the factors that could influence its price in the future. This form of analysis is based on external events and influences, as well as financial statements and industry trends.
- Optimization involves the use of mathematical algorithms to determine the appropriate mix of assets given the firm's current capital market rate assessment and a particular client's risk tolerance.
- Quantitative methods include analysis of historical data such as price and volume statistics, performance data, standard deviation and related risk metrics, how the security performs relative to the overall stock market, earnings data, price to earnings ratios, and related data.
- Technical analysis involves charting price and volume data as reported by the exchange where the security is traded to look for price trends.
- Computer models may be used to derive the future value of a security based on assumptions of various data categories such as earnings, cash flow, profit margins, sales, and a variety of other company specific metrics.

In addition, Elevation Wealth reviews research material prepared by others, as well as corporate filings, corporate rating services, and a variety of financial publications. Elevation Wealth may employ outside vendors or utilize third-party software to assist in formulating investment recommendations to clients.

## **Mutual Funds and Exchange-Traded Funds, Individual Securities, and Third-Party Strategists**

Elevation Wealth may recommend “institutional share class” mutual funds, exchange-traded funds, and individual securities (including fixed income instruments). Elevation Wealth may also assist the client in selecting one or more appropriate strategist(s) for all or a portion of the client’s portfolio. Such strategists will typically manage assets for clients who commit to the manager a minimum amount of assets established by that strategist—a factor that Elevation Wealth will take into account when recommending strategists to clients.

Although Elevation Wealth will seek to select only strategists who will invest clients' assets with the highest level of integrity, Elevation Wealth's selection process cannot ensure that strategists will perform as desired, and Elevation Wealth will have no control over the day-to-day operations of any of its selected strategists. Elevation Wealth would not necessarily be aware of certain activities at the underlying strategist level, including without limitation a strategist engaging in unreported risks, investment “style drift,” or even regulatory breaches or fraud.

A description of the criteria to be used in formulating an investment recommendation for mutual funds, ETFs, individual securities (including fixed-income securities), and strategists is set forth below.

Elevation Wealth has formed relationships with third-party vendors that

- provide a technological platform for separate account management
- prepare performance reports
- perform or distribute research of individual securities
- perform billing and certain other administrative tasks

Elevation Wealth may utilize additional independent third parties to assist it in recommending and monitoring individual securities, mutual funds, and strategists to clients as appropriate under the circumstances.

Elevation Wealth reviews certain quantitative and qualitative criteria related to mutual funds and strategists and to formulate investment recommendations to its clients. Quantitative criteria may include

- the performance history of a mutual fund or strategists evaluated against that of its peers and other benchmarks
- an analysis of risk-adjusted returns
- an analysis of the manager’s contribution to the investment return (e.g., manager’s alpha), standard deviation of returns over specific time periods, sector and style analysis
- the fund, sub-advisor or strategist’s fee structure
- the relevant portfolio manager’s tenure

Qualitative criteria used in selecting/recommending mutual funds or strategists include the investment objectives and/or management style and philosophy of a mutual fund or strategist; a mutual fund or strategist’s consistency of investment style; and employee turnover and efficiency and capacity.

Quantitative and qualitative criteria related to mutual funds and strategists are reviewed by Elevation Wealth on a quarterly basis or such other interval as appropriate under the circumstances. In addition, mutual funds or strategists are reviewed to determine the extent to which their investments reflect efforts to time the market, or evidence style drift such that their portfolios no longer accurately reflect the particular asset category attributed to the mutual fund or strategists by Elevation Wealth (both of which are negative factors in implementing an asset allocation structure).

Elevation Wealth may negotiate reduced account minimum balances and reduced fees with strategists under various circumstances (e.g., for clients with minimum level of assets committed to the manager for specific periods of time, etc.). There can be no assurance that clients will receive any reduced account minimum balances or fees, or that all clients, even if apparently similarly situated, will receive any reduced account minimum balances or fees available to some other clients. Also, account minimum balances and fees may significantly differ between clients. Each client's individual needs and circumstances will determine portfolio weighting, which can have an impact on fees given the funds or managers utilized. Elevation Wealth will endeavor to obtain equal treatment for its clients with funds or strategists, but cannot assure equal treatment.

Elevation Wealth will regularly review the activities of mutual funds and strategists utilized for the client. Clients that engage strategists or who invest in mutual funds should first review and understand the disclosure documents of those strategists or mutual funds, which contain information relevant to such retention or investment, including information on the methodology used to analyze securities, investment strategies, fees and conflicts of interest.

### **Material Risks of Investment Instruments**

Elevation Wealth generally invests in the following types of securities:

- Equity securities
- Mutual fund securities
- Exchange-traded funds
- Fixed income securities

#### **Equity Securities**

Investing in individual companies involves inherent risk. The major risks relate to the company's capitalization, quality of the company's management, quality and cost of the company's services, the company's ability to manage costs, efficiencies in the manufacturing or service delivery process, management of litigation risk, and the company's ability to create shareholder value (i.e., increase the value of the company's stock price). Foreign securities, in addition to the general risks of equity securities, have geopolitical risk, financial transparency risk, currency risk, regulatory risk and liquidity risk.

#### **Mutual Fund Securities**

Investing in mutual funds carries inherent risk. The major risks of investing in a mutual fund include the quality and experience of the portfolio management team and its ability to create

fund value by investing in securities that have positive growth, the amount of individual company diversification, the type and amount of industry diversification, and the type and amount of sector diversification within specific industries. In addition, mutual funds tend to be tax inefficient and therefore investors may pay capital gains taxes on fund investments while not having yet sold the fund.

#### **Exchange-Traded Funds (“ETFs”)**

ETFs are investment companies whose shares are bought and sold on a securities exchange. An ETF holds a portfolio of securities designed to track a particular market segment or index. Some examples of ETFs are SPDRs<sup>®</sup>, streetTRACKS<sup>®</sup>, DIAMONDS<sup>SM</sup>, NASDAQ 100 Index Tracking Stock<sup>SM</sup> (“QQQs<sup>SM</sup>”) iShares<sup>®</sup> and VIPERs<sup>®</sup>. ETFs have embedded expenses that the client indirectly bears.

Investing in ETFs involves risk. Specifically, ETFs, depending on the underlying portfolio and its size, can have wide price (bid and ask) spreads, thus diluting or negating any upward price movement of the ETF or enhancing any downward price movement. Also, ETFs require more frequent portfolio reporting by regulators and are thereby more susceptible to actions by hedge funds that could have a negative impact on the price of the ETF. Certain ETFs may employ leverage, which creates additional volatility and price risk depending on the amount of leverage utilized, the collateral and the liquidity of the supporting collateral.

Further, the use of leverage (i.e., employing the use of margin) generally results in additional interest costs to the ETF. Certain ETFs are highly leveraged and therefore have additional volatility and liquidity risk. Volatility and liquidity can severely and negatively impact the price of the ETF's underlying portfolio securities, thereby causing significant price fluctuations of the ETF.

#### **Fixed Income Securities**

Fixed income securities carry additional risks than those of equity securities described above. These risks include the company's ability to retire its debt at maturity, the current interest rate environment, the coupon interest rate promised to bondholders, legal constraints, jurisdictional risk (U.S or foreign) and currency risk. If bonds have maturities of ten years or greater, they will likely have greater price swings when interest rates move up or down. The shorter the maturity the less volatile the price swings. Foreign bonds have liquidity and currency risk.

## **B. Investment Strategy and Method of Analysis Material Risks**

Our investment strategy is custom-tailored to the client's goals, investment objectives, risk tolerance, and personal and financial circumstances.

#### **Methods of Analysis Risks**

- Risks of Optimization: Assumptions may be incorrect; algorithms may not be designed properly.

- Risks of Quantitative Analysis: There is no assurance that historical data can predict future performance.
- Risks of Technical Analysis: Technical trading models are mathematically driven based upon historical data and trends of domestic and foreign market trading activity, including various industry and sector trading statistics within such markets. Technical trading models, through mathematical algorithms, attempt to identify when markets are likely to increase or decrease and identify appropriate entry and exit points. The primary risk of technical trading models is that historical trends and past performance cannot predict future trends, and there is no assurance that the mathematical algorithms employed are designed properly, updated with new data, and can accurately predict future market, industry, and sector performance.
- Risks of Computer Models: Assumptions of historical data such as earnings, cash flow, profit margins and related data may either be incorrect or fail to account for other factors that can drive a company's value.

### **Margin Leverage**

Although Elevation Wealth, as a general business practice, does not utilize leverage, there may be instances in which the use of leverage may be appropriate for certain clients and situations or requested by the clients for personal use. In this regard please review the following:

The use of margin leverage enhances the overall risk of investment gain and loss to the client's investment portfolio. For example, investors are able to control \$2 of a security for \$1. So if the price of a security rises by \$1, the investor earns a 100% return on their investment. Conversely, if the security declines by \$.50, then the investor loses 50% of their investment.

The use of margin leverage entails borrowing, which results in additional interest costs to the investor.

Broker-dealers who carry customer accounts require a minimum equity requirement when clients utilize margin leverage. The minimum equity requirement is stated as a percentage of the value of the underlying collateral security with an absolute minimum dollar requirement. For example, if the price of a security declines in value to the point where the excess equity used to satisfy the minimum requirement dissipates, the broker-dealer will require the client to deposit additional collateral to the account in the form of cash or marketable securities. A deposit of securities to the account will require a larger deposit, as the security being deposited is included in the computation of the minimum equity requirement. In addition, when leverage is utilized and the client needs to withdraw cash, the client must sell a disproportionate amount of collateral securities to release enough cash to satisfy the withdrawal amount based upon similar reasoning as cited above.

Regulations concerning the use of margin leverage are established by the Federal Reserve Board and vary if the client's account is held at a broker-dealer versus a bank custodian. Broker-dealers and bank custodians may apply more stringent rules as they deem necessary.



### **Short-Term Trading**

The firm does not use short-term trading strategies.

### **Short Selling**

Elevation Wealth generally does not engage in short selling but reserves the right to do so in the exercise of its sole judgment. Short selling involves the sale of a security that is borrowed rather than owned. When a short sale is effected, the investor is expecting the price of the security to decline in value so that a purchase or closeout of the short sale can be effected at a significantly lower price. The primary risks of effecting short sales is the availability to borrow the stock, the unlimited potential for loss, and the requirement to fund any difference between the short credit balance and the market value of the security.

## **C. Concentration Risks**

There is an inherent risk for clients who have their investment portfolios heavily weighted in one security, one industry or industry sector, one geographic location, one investment manager, one type of investment instrument (equities versus fixed income). Clients who have diversified portfolios, as a general rule, incur less volatility and therefore less fluctuation in portfolio value than those who have concentrated holdings. Concentrated holdings may offer the potential for higher gain, but also offer the potential for significant loss. The firm does not recommend concentrated position strategies to clients. Nonetheless, price movements in portfolio securities can cause short-term concentration issues of other securities, which the firm rectifies by rebalancing.

## **Item 9: Disciplinary Information**

### **A. Criminal or Civil Actions**

There is nothing to report on this item.

### **B. Administrative Enforcement Proceedings**

There is nothing to report on this item.

### **C. Self-Regulatory Organization Enforcement Proceedings**

There is nothing to report on this item.

## **Item 10: Other Financial Industry Activities and Affiliations**

### **A. Broker-Dealer or Representative Registration**

Neither Elevation Wealth nor its affiliates, employees, or independent contractors are registered broker-dealers and do not have an application to register pending.

### **B. Futures or Commodity Registration**

Neither Elevation Wealth nor its affiliates are registered as a commodity firm, futures commission merchant, commodity pool operator or commodity trading advisor and do not have an application to register pending.

### **C. Material Relationships Maintained by this Advisory Business and Conflicts of Interest**

#### **Insurance Sales**

Mindy A. Cook is a licensed insurance agent and may recommend insurance products offered by various insurance carriers. Please be advised that there is a conflict of interest in that there is an economic incentive to recommend insurance carriers and other investment products offered through such insurance carriers. Please also be advised that Elevation Wealth strives to put its clients' interests first and foremost, and clients are not obligated to purchase insurance products through the firm or Mindy A. Cook.

### **D. Recommendation or Selection of Other Investment Advisors and Conflicts of Interest**

Although Elevation Wealth does not receive any referral remuneration from advisers, investment managers, or other service providers that it recommends to clients, the firm engages sub-advisers to manage Elevation Wealth client accounts who charge separately for their services.

## **Item 11: Code of Ethics, Participation or Interest in Client Transactions and Personal Trading**

### **A. Code of Ethics Description**

In accordance with the Advisers Act, Elevation Wealth has adopted policies and procedures designed to detect and prevent insider trading. In addition, Elevation Wealth has adopted a Code of Ethics (the "Code"). Among other things, the Code includes written procedures governing the conduct of Elevation Wealth's advisory and access persons. The Code also imposes certain reporting obligations on persons subject to the Code. The Code and applicable securities transactions are monitored by the chief compliance officer of Elevation Wealth. Elevation Wealth will send clients a copy of its Code of Ethics upon written request.

Elevation Wealth has policies and procedures in place to ensure that the interests of its clients are given preference over those of Elevation Wealth, its affiliates and its employees. For example, there are policies in place to prevent the misappropriation of material non-public information, and such other policies and procedures reasonably designed to comply with federal and state securities laws.

### **B. Investment Recommendations Involving a Material Financial Interest and Conflicts of Interest**

Elevation Wealth does not engage in principal trading (i.e., the practice of selling stock to advisory clients from a firm's inventory or buying stocks from advisory clients into a firm's inventory). In addition, Elevation Wealth does not recommend any securities to advisory clients in which it has some proprietary or ownership interest.

### **C. Advisory Firm Purchase or Sale of Same Securities Recommended to Clients and Conflicts of Interest**

Elevation Wealth, its affiliates, employees and their families, trusts, estates, charitable organizations and retirement plans established by it may purchase or sell the same securities as are purchased or sold for clients in accordance with its Code of Ethics policies and procedures. The personal securities transactions by advisory representatives and employees may raise potential conflicts of interest when they trade in a security that is:

- owned by the client, or
- considered for purchase or sale for the client.

Such conflict generally refers to the practice of front-running (trading ahead of the client), which Elevation Wealth specifically prohibits. Elevation Wealth has adopted policies and procedures that are intended to address these conflicts of interest. These policies and procedures:

- require our advisory representatives and employees to act in the client's best interest
- prohibit fraudulent conduct in connection with the trading of securities in a client account

- prohibit employees from personally benefitting by causing a client to act, or fail to act in making investment decisions
- prohibit the firm or its employees from profiting or causing others to profit on knowledge of completed or contemplated client transactions
- allocate investment opportunities in a fair and equitable manner
- provide for the review of transactions to discover and correct any trades that result in an advisory representative or employee benefitting at the expense of a client.

Advisory representatives and employees must follow Elevation Wealth's procedures when purchasing or selling the same securities purchased or sold for the client.

#### **D. Client Securities Recommendations or Trades and Concurrent Advisory Firm Securities Transactions and Conflicts of Interest**

Elevation Wealth, its affiliates, employees and their families, trusts, estates, charitable organizations, and retirement plans established by it may effect securities transactions for their own accounts that differ from those recommended or effected for other Elevation Wealth clients. Elevation Wealth will make a reasonable attempt to trade securities in client accounts at or prior to trading the securities in its affiliate, corporate, employee or employee-related accounts. Trades executed the same day will likely be subject to an average pricing calculation. It is the policy of Elevation Wealth to place the clients' interests above those of Elevation Wealth and its employees.

## Item 12: Brokerage Practices

### A. Factors Used to Select Broker-Dealers for Client Transactions

#### Custodian Recommendations

Elevation Wealth may recommend that clients establish brokerage accounts with the Schwab Advisor Services division of Charles Schwab & Co., Inc. ("custodian") a FINRA registered broker-dealer, member SIPC, to maintain custody of clients' assets and to effect trades for their accounts. Although Elevation Wealth may recommend that clients establish accounts at the custodian, it is the client's decision to custody assets with the custodian. Elevation Wealth is independently owned and operated and not affiliated with custodian. For Elevation Wealth client accounts maintained in its custody, the custodian generally does not charge separately for custody services but is compensated by account holders through commissions and other transaction-related or asset-based fees for securities trades that are executed through the custodian or that settle into custodian accounts.

Elevation Wealth considers the financial strength, reputation, operational efficiency, cost, execution capability, level of customer service, and related factors in recommending broker-dealers or custodians to advisory clients.

In certain instances and subject to approval by Elevation Wealth, Elevation Wealth will recommend to clients certain other broker-dealers and/or custodians based on the needs of the individual client, and taking into consideration the nature of the services required, the experience of the broker-dealer or custodian, the cost and quality of the services, and the reputation of the broker-dealer or custodian. The final determination to engage a broker-dealer or custodian recommended by Elevation Wealth will be made by and in the sole discretion of the client. The client recognizes that broker-dealers and/or custodians have different cost and fee structures and trade execution capabilities. As a result, there may be disparities with respect to the cost of services and/or the transaction prices for securities transactions executed on behalf of the client. Clients are responsible for assessing the commissions and other costs charged by broker-dealers and/or custodians.

#### How We Select Brokers/Custodians to Recommend

Elevation Wealth seeks to recommend a custodian/broker who will hold client assets and execute transactions on terms that are overall most advantageous when compared to other available providers and their services. We consider a wide range of factors, including, among others, the following:

- combination of transaction execution services along with asset custody services (generally without a separate fee for custody)
- capability to execute, clear, and settle trades (buy and sell securities for client accounts)
- capabilities to facilitate transfers and payments to and from accounts (wire transfers, check requests, bill payment, etc.)

- breadth of investment products made available (stocks, bonds, mutual funds, exchange-traded funds (ETFs), etc.)
- availability of investment research and tools that assist us in making investment decisions
- quality of services
- competitiveness of the price of those services (commission rates, margin interest rates, other fees, etc.) and willingness to negotiate them
- reputation, financial strength, and stability of the provider
- their prior service to us and our other clients
- availability of other products and services that benefit us, as discussed below

#### **Client's Custody and Brokerage Costs**

For client accounts that the firm maintains, the custodian generally does not charge clients separately for custody services but is compensated by charging either transaction fees or custodian asset-based fees on trades that it executes or that settle into the custodian's accounts. In addition to commissions, the custodian charges a flat dollar amount as a "prime broker" or "trade away" fee for each trade that the firm has executed by a different broker-dealer but where the securities bought or the funds from the securities sold are deposited (settled) into the client's custodian account. These fees are in addition to the commissions or other compensation the client pays the executing broker-dealer. Because of this, in order to minimize the client's trading costs, the firm has the custodian execute most trades for the account.

#### **Soft Dollar Arrangements**

As a result of the firm's recommendation to clients to custody assets with a specific custodian, the firm is deemed to be in receipt of soft dollar benefits from said custodian. These soft-dollar benefits are in the form of institutional trading and custody services, other products and services, and additional compensation received from custodians. Please refer to the following Items A.1.d. through A.1.h. for disclosure of such benefits.

#### **Institutional Trading and Custody Services**

The custodian provides Elevation Wealth with access to its institutional trading and custody services, which are typically not available to the custodian's retail investors. These services generally are available to independent investment advisors on an unsolicited basis, at no charge to them so long as a certain minimum amount of the advisor's clients' assets are maintained in accounts at a particular custodian. The custodian's brokerage services include the execution of securities transactions, custody, research, and access to mutual funds and other investments that are otherwise generally available only to institutional investors or would require a significantly higher minimum initial investment.

### **Other Products and Services**

Custodian also makes available to Elevation Wealth other products and services that benefit Elevation Wealth but may not directly benefit its clients' accounts. Many of these products and services may be used to service all or some substantial number of Elevation Wealth's accounts, including accounts not maintained at custodian. The custodian may also make available to Elevation Wealth software and other technology that

- provide access to client account data (such as trade confirmations and account statements)
- facilitate trade execution and allocate aggregated trade orders for multiple client accounts
- provide research, pricing and other market data
- facilitate payment of Elevation Wealth's fees from its clients' accounts
- assist with back-office functions, recordkeeping and client reporting

The custodian may also offer other services intended to help Elevation Wealth manage and further develop its business enterprise. These services may include

- compliance, legal and business consulting
- publications and conferences on practice management and business succession
- access to employee benefits providers, human capital consultants and insurance providers

The custodian may also provide other benefits such as educational events or occasional business entertainment of Elevation Wealth personnel. In evaluating whether to recommend that clients custody their assets at the custodian, Elevation Wealth may take into account the availability of some of the foregoing products and services and other arrangements as part of the total mix of factors it considers, and not solely the nature, cost or quality of custody and brokerage services provided by the custodian, which may create a potential conflict of interest.

### **Independent Third Parties**

The custodian may make available, arrange, and/or pay third-party vendors for the types of services rendered to Elevation Wealth. The custodian may discount or waive fees it would otherwise charge for some of these services or all or a part of the fees of a third party providing these services to Elevation Wealth.

### **Additional Compensation Received from Custodians**

Elevation Wealth may participate in institutional customer programs sponsored by broker-dealers or custodians. Elevation Wealth may recommend these broker-dealers or custodians to clients for custody and brokerage services. There is no direct link between Elevation Wealth's participation in such programs and the investment advice it gives to its clients, although Elevation Wealth receives economic benefits through its participation in the programs that are typically not available to retail investors. These benefits may include the following products and services (provided without cost or at a discount):

- Receipt of duplicate client statements and confirmations



- Research-related products and tools
- Consulting services
- Access to a trading desk serving Elevation Wealth participants
- Access to block trading (which provides the ability to aggregate securities transactions for execution and then allocate the appropriate shares to client accounts)
- The ability to have advisory fees deducted directly from client accounts
- Access to an electronic communications network for client order entry and account information
- Access to mutual funds with no transaction fees and to certain institutional money managers
- Discounts on compliance, marketing, research, technology, and practice management products or services provided to Elevation Wealth by third-party vendors

The custodian may also pay for business consulting and professional services received by Elevation Wealth's related persons, and may pay or reimburse expenses (including client transition expenses, travel, lodging, meals and entertainment expenses for Elevation Wealth's personnel to attend conferences). Some of the products and services made available by such custodian through its institutional customer programs may benefit Elevation Wealth but may not benefit its client accounts. These products or services may assist Elevation Wealth in managing and administering client accounts, including accounts not maintained at the custodian as applicable. Other services made available through the programs are intended to help Elevation Wealth manage and further develop its business enterprise. The benefits received by Elevation Wealth or its personnel through participation in these programs do not depend on the amount of brokerage transactions directed to the broker-dealer.

Elevation Wealth also participates in similar institutional advisor programs offered by other independent broker-dealers or trust companies, and its continued participation may require Elevation Wealth to maintain a predetermined level of assets at such firms. In connection with its participation in such programs, Elevation Wealth will typically receive benefits similar to those listed above, including research, payments for business consulting and professional services received by Elevation Wealth's related persons, and reimbursement of expenses (including travel, lodging, meals and entertainment expenses for Elevation Wealth's personnel to attend conferences sponsored by the broker-dealer or trust company).

As part of its fiduciary duties to clients, Elevation Wealth endeavors at all times to put the interests of its clients first. Clients should be aware, however, that the receipt of economic benefits by Elevation Wealth or its related persons in and of itself creates a potential conflict of interest and may indirectly influence Elevation Wealth's recommendation of broker-dealers for custody and brokerage services.

#### **The Firm's Interest in Custodian's Services**

The availability of these services from the custodian benefits the firm because the firm does not have to produce or purchase them. These services are not contingent upon the firm committing any specific amount of business to the custodian in trading commissions or assets

in custody. Custodian's services may give the firm an incentive to recommend that clients maintain their accounts with the custodian based on the firm's interest in receiving the custodian's services that benefit the firm's business rather than based on the client's interest in receiving the best value in custody services and the most favorable execution of client transactions. This is a potential conflict of interest. The firm believes, however, that the selection of the custodian as custodian and broker is in the best interest of clients. It is primarily supported by the scope, quality, and price of the custodian's services and not the custodian's services that benefit only the firm.

### **Brokerage for Client Referrals**

Elevation Wealth does not engage in the practice of directing brokerage commissions in exchange for the referral of advisory clients.

### **Directed Brokerage**

#### **Elevation Wealth Recommendations**

Elevation Wealth typically recommends Schwab as custodian for clients' funds and securities and to execute securities transactions on its clients' behalf.

#### **Client-Directed Brokerage**

Occasionally, clients may direct Elevation Wealth to use a particular broker-dealer to execute portfolio transactions for their account or request that certain types of securities not be purchased for their account. Clients who designate the use of a particular broker-dealer should be aware that they will lose any possible advantage Elevation Wealth derives from aggregating transactions. Such client trades are typically effected after the trades of clients who have not directed the use of a particular broker-dealer. Elevation Wealth loses the ability to aggregate trades with other Elevation Wealth advisory clients, potentially subjecting the client to inferior trade execution prices as well as higher commissions.

## **B. Aggregating Securities Transactions for Client Accounts**

### **Best Execution**

Elevation Wealth, pursuant to the terms of its investment advisory agreement with clients, has discretionary authority to determine which securities are to be bought and sold, and the amount of such securities. Elevation Wealth recognizes that the analysis of execution quality involves a number of factors, both qualitative and quantitative. Elevation Wealth will follow a process in an attempt to ensure that it is seeking to obtain the most favorable execution under the prevailing circumstances when placing client orders. These factors include but are not limited to the following:

- The financial strength, reputation and stability of the broker
- The efficiency with which the transaction is effected

- The ability to effect prompt and reliable executions at favorable prices (including the applicable dealer spread or commission, if any)
- The availability of the broker to stand ready to effect transactions of varying degrees of difficulty in the future
- The efficiency of error resolution, clearance and settlement
- Block trading and positioning capabilities
- Performance measurement
- Online access to computerized data regarding customer accounts
- Availability, comprehensiveness, and frequency of brokerage and research services
- Commission rates
- The economic benefit to the client
- Related matters involved in the receipt of brokerage services

Consistent with its fiduciary responsibilities, Elevation Wealth seeks to ensure that clients receive best execution with respect to clients' transactions by blocking client trades to reduce commissions and transaction costs. To the best of Elevation Wealth's knowledge, these custodians provide high-quality execution, and Elevation Wealth's clients do not pay higher transaction costs in return for such execution.

Commission rates and securities transaction fees charged to effect such transactions are established by the client's independent custodian and/or broker-dealer. Based upon its own knowledge of the securities industry, Elevation Wealth believes that such commission rates are competitive within the securities industry. Lower commissions or better execution may be able to be achieved elsewhere.

### **Security Allocation**

Since Elevation Wealth may be managing accounts with similar investment objectives, Elevation Wealth may aggregate orders for securities for such accounts. In such event, allocation of the securities so purchased or sold, as well as expenses incurred in the transaction, is made by Elevation Wealth in the manner it considers to be the most equitable and consistent with its fiduciary obligations to such accounts.

Elevation Wealth's allocation procedures seek to allocate investment opportunities among clients in the fairest possible way, taking into account the clients' best interests. Elevation Wealth will follow procedures to ensure that allocations do not involve a practice of favoring or discriminating against any client or group of clients. Account performance is never a factor in trade allocations.

Elevation Wealth's advice to certain clients and entities and the action of Elevation Wealth for those and other clients are frequently premised not only on the merits of a particular investment, but also on the suitability of that investment for the particular client in light of his or her applicable investment objective, guidelines and circumstances. Thus, any action of Elevation Wealth with respect to a particular investment may, for a particular client, differ or be opposed to the recommendation, advice, or actions of Elevation Wealth to or on behalf of other clients.

### **Order Aggregation**

Orders for the same security entered on behalf of more than one client will generally be aggregated (i.e., blocked or bunched) subject to the aggregation being in the best interests of all participating clients. Subsequent orders for the same security entered during the same trading day may be aggregated with any previously unfilled orders. Subsequent orders may also be aggregated with filled orders if the market price for the security has not materially changed and the aggregation does not cause any unintended duration exposure. All clients participating in each aggregated order will receive the average price and, subject to minimum ticket charges and possible step outs, pay a pro rata portion of commissions.

To minimize performance dispersion, "strategy" trades should be aggregated and average priced. However, when a trade is to be executed for an individual account and the trade is not in the best interests of other accounts, then the trade will only be performed for that account. This is true even if Elevation Wealth believes that a larger size block trade would lead to best overall price for the security being transacted.

### **Allocation of Trades**

All allocations will be made prior to the close of business on the trade date. In the event an order is "partially filled," the allocation will be made in the best interests of all the clients in the order, taking into account all relevant factors including, but not limited to, the size of each client's allocation, clients' liquidity needs and previous allocations. In most cases, accounts will get a pro forma allocation based on the initial allocation. This policy also applies if an order is "over-filled."

Elevation Wealth acts in accordance with its duty to seek best price and execution and will not continue any arrangements if Elevation Wealth determines that such arrangements are no longer in the best interest of its clients.

### **Trade Errors**

From time-to-time Elevation Wealth may make an error in submitting a trade order on the client's behalf. When this occurs, Elevation Wealth may place a correcting trade with the broker-dealer. If an investment gain results from the correcting trade, the gain will remain in client's account unless the same error involved other client account(s) that should have received the gain, it is not permissible for client to retain the gain, or Elevation Wealth confers with client and client decides to forego the gain (e.g., due to tax reasons).

If the gain does not remain in client's account, Schwab will donate the amount of any gain \$100 and over to charity. If a loss occurs greater than \$100, Elevation Wealth will pay for the loss. Schwab will maintain the loss or gain (if such gain is not retained in client's account) if it is under \$100 to minimize and offset its administrative time and expense. Generally, if related trade errors result in both gains and losses in client's account, they may be "netted."

## **Item 13: Review of Accounts**

### **A. Schedule for Periodic Review of Client Accounts or Financial Plans and Advisory Persons Involved**

Accounts are reviewed by Elevation Wealth's Managers. The frequency of reviews is determined based on the client's investment objectives, but reviews are conducted no less frequently than semi-annually. More frequent reviews may also be triggered by a change in the client's investment objectives, tax considerations, large deposits or withdrawals, large purchases or sales, loss of confidence in the underlying investment, or changes in macro-economic climate.

Financial planning clients receive their financial plans and recommendations at the time service is completed. The firm may review with client at any time the client requests and at least on an annual basis.

### **B. Review of Client Accounts on Non-Periodic Basis**

Elevation Wealth may perform ad hoc reviews on an as-needed basis if there have been material changes in the client's investment objectives or risk tolerance, or a material change in how Elevation Wealth formulates investment advice.

### **C. Content of Client-Provided Reports and Frequency**

The client's independent custodian provides account statements directly to the client no less frequently than quarterly. The custodian's statement is the official record of the client's securities account and supersedes any statements or reports created on behalf of the client by Elevation Wealth.

## Item 14: Client Referrals and Other Compensation

### A. Economic Benefits Provided to the Advisory Firm from External Sources and Conflicts of Interest

#### Schwab

Elevation Wealth receives an economic benefit from Schwab in the form of the support products and services it makes available to us. Clients do not pay more for assets maintained at Schwab as a result of these arrangements. However, we benefit from the arrangements because the cost of these services would otherwise be borne directly by us. Clients should consider these conflicts of interest when selecting a custodian. The products and services provided by Schwab, how they benefit us, and the related conflicts of interest are described above under Item 12 Brokerage Practices. The availability to us of Schwab's products and services is not based on us giving particular investment advice, such as buying securities for our clients.

#### Sooner Wealth Squad

Elevation Wealth has formed a strategic relationship with other professional service providers in which it receives economic benefits in the form of cross-referrals. Please see Item 14.B below for detailed information.

### B. Advisory Firm Payments for Client Referrals

Elevation Wealth has formed a strategic relationship with other professional service providers. We call this group the Sooner Wealth Squad, which currently consists of the following providers and services:

- Elevation Wealth – Financial Planning & Investing
- Premier Insurance – P&C Insurance
- Icon – Real Estate

We may refer clients to one or more of these service providers. We have no formal agreements and do not pay or receive direct compensation, although we may benefit in the form of cross-referrals. Clients are advised that these service providers may not be the best or most appropriate for their personal objectives and circumstances. Clients are not obligated to utilize the services of any of these providers and have the option to engage the services of any provider they choose.

## Item 15: Custody

Elevation Wealth is considered to have custody of client assets for purposes of the Advisers Act for the following reasons:

- The client authorizes us to instruct their custodian to deduct our advisory fees directly from the client's account. The custodian maintains actual custody of clients' assets.

Individual advisory clients will receive at least quarterly account statements directly from their custodian containing a description of all activity, cash balances, and portfolio holdings in their accounts. Clients are urged to compare the account balance(s) shown on their account statements to the quarter-end balance(s) on their custodian's monthly statement. The custodian's statement is the official record of the account.

## **Item 16: Investment Discretion**

Clients may grant a limited power of attorney to Elevation Wealth with respect to trading activity in their accounts by signing the appropriate custodian limited power of attorney form. In those cases, Elevation Wealth will exercise full discretion as to the nature and type of securities to be purchased and sold, and the amount of securities for such transactions. Investment limitations may be designated by the client as outlined in the investment advisory agreement. In addition, subject to the terms of its investment advisory agreement, Elevation Wealth may be granted discretionary authority for the retention of independent third-party investment management firms. Investment limitations may be designated by the client as outlined in the investment advisory agreement. Please see the applicable third-party manager's disclosure brochure for detailed information relating to discretionary authority.



## Item 17: Voting Client Securities

Elevation Wealth does not take discretion with respect to voting proxies on behalf of its clients. All proxy material will be forwarded to the client by the client's custodian for the client's review and action. Clients may contact the firm with questions regarding proxies they have received.

Elevation Wealth will endeavor to make recommendations to clients on voting proxies regarding shareholder vote, consent, election or similar actions solicited by, or with respect to, issuers of securities beneficially held as part of Elevation Wealth supervised and/or managed assets. In no event will Elevation Wealth take discretion with respect to voting proxies on behalf of its clients.

Except as required by applicable law, Elevation Wealth will not be obligated to render advice or take any action on behalf of clients with respect to assets presently or formerly held in their accounts that become the subject of any legal proceedings, including bankruptcies.

From time to time, securities held in the accounts of clients will be the subject of class action lawsuits. Elevation Wealth has no obligation to determine if securities held by the client are subject to a pending or resolved class action lawsuit. Elevation Wealth also has no duty to evaluate a client's eligibility or to submit a claim to participate in the proceeds of a securities class action settlement or verdict. Furthermore, Elevation Wealth has no obligation or responsibility to initiate litigation to recover damages on behalf of clients who may have been injured as a result of actions, misconduct, or negligence by corporate management of issuers whose securities are held by clients.

Where Elevation Wealth receives written or electronic notice of a class action lawsuit, settlement, or verdict affecting securities owned by a client, it will forward all notices, proof of claim forms, and other materials to the client. Electronic mail is acceptable where appropriate and where the client has authorized contact in this manner.

## **Item 18: Financial Information**

### **A. Balance Sheet**

Elevation Wealth does not require the prepayment of fees of \$500 or more, six months or more in advance, and as such is not required to file a balance sheet.

### **B. Financial Conditions Reasonably Likely to Impair Advisory Firm's Ability to Meet Commitments to Clients**

Elevation Wealth does not have any financial issues that would impair its ability to provide services to clients.

### **C. Bankruptcy Petitions During the Past Ten Years**

There is nothing to report on this item.

## **Item 19: Requirements for State-Registered Advisors**

### **A. Principal Executive Officers and Management Persons**

Angie Hundt and Mindy Cook are the Managers of Elevation Wealth. Education and business background information are included in the Brochure Supplements provided with this Brochure.

### **B. Outside Business Activities Engaged In**

Any outside business activities engaged in by the firm's managers are disclosed in Item 10 of this Brochure and/or Part 2B Brochure Supplement.

### **C. Performance-Based Fee Description**

Elevation Wealth does not charge performance-based fees. See Item 6 of this Brochure.

### **D. Disclosure of Material Facts Related to Arbitration or Disciplinary Actions Involving Management Persons**

The firm has no material facts related to arbitration or disciplinary actions involving management persons to disclose.

### **E. Material Relationships Maintained by this Advisory Business or Management Persons with Issuers of Securities**

Any material relationships maintained by this advisory business or management persons with issuers of securities are disclosed under Item 10 of this Brochure.

## **Brochure Supplement**

March 18, 2024

### **Elevation Wealth Partners, LLC**

CRD No. 324083

#### **Mindy A. Cook Partner**

Individual CRD No. 4794959

#### Main Office

Edmond, Oklahoma

phone: 405-474-6334

email: [mindy@elevationwealthllc.com](mailto:mindy@elevationwealthllc.com)

website: [www.elevationwealthllc.com](http://www.elevationwealthllc.com)

#### Branch Office

Bradenton, Florida

phone: 405-215-9622

This brochure supplement provides information about Mindy A. Cook that supplements the Elevation Wealth Partners, LLC brochure. You should have received a copy of that brochure. If you did not receive a brochure or if you have any questions about the contents of this supplement, please contact us at 608-513-7244.

Additional information about Mindy A. Cook is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2: Educational Background and Business Experience

Mindy A. Cook (b. 1979) is a Partner of Elevation Wealth Partners, LLC.

### A. Educational Background

MBA, University of Central Oklahoma	2003
BBA, University of Central Oklahoma	2002
Southern Nazarene University, no degree attained	Attended 08/1998-05/2000

### B. Business Background

Partner, Elevation Wealth Partners, LLC	02/2023–Present
Investment Adviser Representative Cambridge Investment Research Advisors, Inc.	04/2021–02/2023
Registered Representative, Cambridge Investment Research, Inc.	04/2018–02/2023
Registered Representative, Ameritas Investment Corp.	06/2017–04/2018
Owner, Cook Financial Solutions	07/2016–04/2018
Fitness Instructor, Key Health Club	07/2016–04/2018
Agent, Capital Choice Financial Services	12/2012–04/2018

## Item 3: Disciplinary Information

Mindy A. Cook does not have any disciplinary action to report. Public information concerning her registration as an investment advisor representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 4: Other Business Activities

Mindy A. Cook is a licensed insurance agent and may recommend insurance products offered by various insurance carriers. Please be advised that there is a conflict of interest in that there is an economic incentive to recommend insurance carriers and other investment products offered through such insurance carriers. Please also be advised that Mindy strives to put her clients' interests first and foremost, and clients are not obligated to purchase insurance products through her.

## Item 5: Additional Compensation

Mindy A. Cook receives additional compensation through her business activity described in Item 4 above.

## **Item 6: Supervision**

Supervision of Mindy A. Cook is performed by Angela N. Hundt, Chief Compliance Officer, through reviews of internal transaction and security holdings reports, electronic and physical correspondence, and other internal reports as mandated by the firm and its regulatory authorities. Ms. Hundt can be reached at 608-513-7244.

## **Item 7: Requirements for State-Registered Advisors – Additional Disciplinary Disclosures**

Ms. Cook does not have any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy.

## **Brochure Supplement**

March 18, 2024

### **Elevation Wealth Partners, LLC**

CRD No. 324083

**Angela N. Hundt**

**Managing Member**

Individual CRD No. 6974318

#### Main Office

Edmond, Oklahoma

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email: [mindy@elevationwealthllc.com](mailto:mindy@elevationwealthllc.com)

website: [www.elevationwealthllc.com](http://www.elevationwealthllc.com)

#### Branch Office

Bradenton, Florida

phone: 405-215-9622

This brochure supplement provides information about Angela N. Hundt that supplements the Elevation Wealth Partners, LLC brochure. You should have received a copy of that brochure. If you did not receive a brochure or if you have any questions about the contents of this supplement, please contact us at 608-513-7244.

Additional information about Angela N. Hundt is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2: Educational Background and Business Experience

Angela N. Hundt (b. 1988) is Managing Member of Elevation Wealth Partners, LLC.

### A. Educational Background

No post-secondary education

### B. Business Background

Managing Member, Elevation Wealth Partners, LLC	11/2022–Present
Registered Representative, Cambridge Investment Research, Inc.	08/2018–09/2022
Consultant, Self-Employed Real Estate Consultant	01/2017–10/2018
Real Estate Development, GSS Homes LLC	01/2018–04/2018

## Item 3: Disciplinary Information

Angela N. Hundt does not have any disciplinary action to report. Public information concerning her registration as an investment advisor representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 4: Other Business Activities

There is nothing to report for this item.

## Item 5: Additional Compensation

There is nothing to report for this item.

## Item 6: Supervision

Supervision of Angela N. Hundt is performed by herself in her capacity as Chief Compliance Officer, through reviews of internal transaction and security holdings reports, electronic and physical correspondence, and other internal reports as mandated by the firm and its regulatory authorities. She can be reached at 608-513-7244.

## Item 7: Requirements for State-Registered Advisors – Additional Disciplinary Disclosures

Ms. Hundt does not have any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy.